

SECTION 2: FORM PTO 1449 - MODIFIED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ding Atty Dkt: 2204/193  
Serial No: 09/418,161 Date: April 27, 2000  
Date Filed: October 13, 1999  
Invention: MULTICAST SWITCHING IN A DISTRIBUTED COMMUNICATION SYSTEM

Commissioner for Patents  
Washington, DC 20231

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'  
INFORMATION DISCLOSURE STATEMENT

Other Documents

Exam. Ref.

Init. No. Name

c.35 AA Deering, S., Internet Engineering Task Force (IETF) Request for Comments (RFC) 1112,  
Host Extensions for IP Multicasting, August 1989  
c.35 AB Fenner, W., Internet Engineering Task Force (IETF) Request for Comments (RFC) 2236,  
Internet Group Management Protocol Version 2, November 1997

Examiner: 

Date Considered: 11/23/02

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.

## Section 6. Copies of Listed Information Items Accompanying This Statement

NOTE: 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (1) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . . "

NOTE: The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Form PTO-1449 (PTO/SB/08A and 08B) accompany this information statement.

*(complete the following, if applicable)*

- ☐ Exception(s) to above:
  - ☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
  - ☐ Cumulative patents or publications identified in Section 5.

(Information Disclosure Statement—Section 6. Copies of Listed Information Items Accompanying This Statement [6-1]—page 5 of 6 )

**Section 10. Identification of Person(s) Making THIS INFORMATION DISCLOSURE STATEMENT**

The person making this statement is

*(check each applicable item)*

- (a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. 1.56(c))

\_\_\_\_\_  
SIGNATURE OF INVENTOR

\_\_\_\_\_  
*(type name of inventor who is signing)*

- (c) ☒ the attorney who signs below on the basis of the information:

*(check each applicable item)*

- ☐ supplied by the inventor(s).  
☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. 1.56(c))  
☒ in the attorney's file.

  
\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

\_\_\_\_\_  
Jeffrey T. Klayman  
*(type or print name of practitioner)*

\_\_\_\_\_  
BROMBERG & SUNSTEIN LLP

\_\_\_\_\_  
P.O. Address

\_\_\_\_\_  
125 Summer Street, Boston, MA 02110  
\_\_\_\_\_

Reg. No.: 39,250

Tel. No.: (617) 443-9292

Customer No.: 002101

(Information Disclosure Statement—Section 10. Identification of Person(s) Making This Information Disclosure Statement [6-1]—page 6 of 6)